



PATENT  
Docket A1-1423

1636

#2  
ND3

7-31-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Douglas Ray Sparks :  
Serial No.: 09/683,967 : Group Art Unit: 1636  
Filed: March 7, 2002 : Examiner:  
For: MICROMACHINED LYSING DEVICE AND  
METHOD FOR PERFORMING CELL LYSIS

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TECH CENTER 1600/2900

Hon. Commissioner of Patents & Trademarks  
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

TECHNOLOGY CENTER R3700

- ☒ 1. PTO-1449 [1.97(b)] - (within 3 months or prior to first Office Action)
- ☐ 2. Certification [1.97(c)] - (before final Office Action or before Notice of Allowance)
- ☐ 3. Fee Payment [1.97(c)] - (before final Office Action or before Notice of Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment [1.97(d)] - (before issue fee payment)

The following are submitted in the above-identified application in compliance with 37 CFR §§1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR §1.97(b) (within three (3) months of the application filing date or before a first Office Action on the merits).
- ☐ 7. 37 CFR §1.97(c) (before final Office Action or Notice of Allowance, whichever is earlier); and
  - ☐ 8. The required certification made in Item 11 below, or
  - ☐ 9. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.
- ☐ 10. 37 CFR §1.97(d) (before payment of issue fee); and
  - a) This is a petition for consideration of the subject Information

Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in Item 14 below.

b) The required certification is made in Item 11 below.

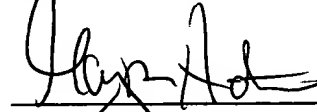
☐ 11. Certification

☐ 12. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.

☐ 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 08-0960.

Respectfully submitted,



Gary M. Hartman  
Reg. No. 33,898

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April 18, 2002

I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231, on April 19, 2002.

Domenica N.S. Hartman

